



Due Date: May 4, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian Grove et al. Examiner: (to be assigned)
Serial No.: 10/701,029 Group Art Unit: 2131
Filed: November 4, 2003 Docket: G&C 30074.50-US-U1
Title: SECURE AUTHENTICATION USING HARDWARE TOKEN AND COMPUTER FINGERPRINT

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 26, 2004.

By: Victor G. Cooper
Name: Victor G. Cooper

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Information Disclosure Statement and Form PTO-1449.
- Cited Reference(s).
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: Victor G. Cooper
Name: Victor G. Cooper
Reg. No.: 39,641
VGC/mrj

(PTO TRANSMITTAL - GENERAL)



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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. 1.491(b), the above application was filed *after* June 30, 2003 and, therefore, copies of the U. S. patents listed on the enclosed Form 1449 are *not* being provided herewith. However, copies of the foreign and non-patent literature are being submitted herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: April 26, 2004

By: Victor G. Cooper
Victor G. Cooper
Reg. No.: 39,641

VGC/mrj
Enclosures: Form PTO-1449
References

Form 1449*		Docket Number: G&C 30074.50-US-U1	Application Number: 10/701,029
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		Applicant: Brian Grove et al.	
		Filing Date: November 4, 2003	Group Art Unit: 2131

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	5,212,729	5/1993	Schafer			
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	5,812,662	9/1998	Hsu et al.			
	5,815,577	9/1998	Clark, Dereck D.			
	5,857,024	1/5/1999	Nishino et al.			
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						YES NO
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	EP 0 791 877 B1	5/22/2002	EP [w/machine translation in English]			X
	EP 0 936 530	8/18/1999	EP			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Form 1449*		Docket Number: G&C 30074.50-US-U1	Application Number: 10/701,029
INFORMATION DISCLOSURE STATEMENT		Applicant: Brian Grove et al.	
IN AN APPLICATION		Filing Date: November 4, 2003	Group Art Unit: 2131

	EP 1 001 329	5/17/2000	EP					
	EP 0 587 375	3/16/1994	EP					
	GB 2 154 344	9/4/1985	UK					
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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[B]	Rainbow Technologies, News Release, "Rainbow Technologies Adds USB Support for PC and Macintosh Software Developers to Sentinel Line," Nov. 17, 1998, XP002139273, Internet: http://www.rainbow.com/invest/PR981117b.html (2 pages).
[C]	"Universal Serial Bus (USB) Device Class Definition for Human Interface Devices (HID), Firmware Specification", Version 1.11, by the USB Implementer's Forum, June 27, 2001; www.usb.org/developers/devclass_docs/HID1_11.pdf .
[D]	"Universal Serial Bus Device Class Definition for Audio Devices", Release 1.0, by the USB Implementer's Forum, March 18, 1998; www.usb.org/developers/devclass_docs/audio10.pdf .
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